



MONA KLEIN

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EXPERIENCE – SELF-EMPLOYMENT

Mona Klein Consulting | Course Leader | 2022 to Present

- Course Leader for the Organization for Economic Cooperation and Development (OECD) Academy for Tax and Financial Crime Investigation. Responsible for all deliverables in the preparation of course delivery, in the successful delivery, and post-program administration and mission report for Programmes in the area of ***Financial Fraud Investigations***.

EXPERIENCE - EMPLOYMENT

Canada Revenue Agency | J5 Project Leader / Manager of J5 Operations, Criminal Investigations Division | October 2023 to March 2024 and January 2025 to current

- Member of the Operational Advisory Group (OAG) for Canada. The Joint Chiefs of Global Tax Enforcement (J5) is a five-country alliance committed to combatting transnational tax crime through increased enforcement collaboration. The J5 Chief for Canada is the Director General at CID-HQ.
- My role within this group:
 - Review and analyze information, intelligence and operational updates to make informed recommendations to the J5 Chief;
 - Actively participate in meetings, provide input and expertise on matters aligned with the objectives of the group;
 - Collaborate with sub-groups (crypto, private -public partnership, professional enablers) to identify synergies and improvement opportunities

In the above role, as of January 2025:

- Continue my responsibility for international aspects relating to the OECD, including sitting on the Bureau of the Task Force and Tax Crime and Other Crime (TFTC), provide support to the OECD Academy with expert instructors;
- As a representative on the OECD TFTC, act a co-chair on the Gender Balance Action Group to promote gender balance within OECD countries. As co-chair, responsible for briefing the OECD TFTC on activities of the Gender Balance Action Group during plenary meetings, promoting these activities to OECD countries and driving progress;

- As a member of Canada's Financial Action Task Force (FATF) Mutual Evaluation regime partner, work collaboratively with Canada's 13 regime partners to prepare for Canada's Mutual Evaluation scheduled for November 2025.

Canada Revenue Agency | Acting Director, Criminal Investigations Directorate- Corporate and Organizational Support Services Division | April 2024 to January 2025

- Responsible for the national training program for the Criminal Investigators and Computer Forensics Analysts to promote quality investigations;
- Responsible for all policy development and legislative changes and promote Criminal Investigations Directorate priorities through domestic and international partnerships;
- Responsible for the quality assurance of closed cases to strengthen investigation practices, national standards and procedures in order to drive efficient investigations;
- Responsible for the communications and issues management on issues affecting the Criminal Investigations Programs and for a variety of communication products to promote the work and increase the visibility of its programs;
- Responsible for the management of financial resources, with a budget of approximately \$5.0M;
- Responsible for establishing and maintaining relationships with internal and external stakeholders including CID Regional Offices, Law Enforcement Agencies, Department of Justice, among others;
- Responsible for international aspects relating to the OECD, including sitting on the Bureau of the Task Force and Tax Crime and Other Crime (TFTC), provide support to the OECD Academy with expert instructors;
- As a representative on the OECD TFTC, act a co-chair on the Gender Balance Action Group to promote gender balance within OECD countries. As co-chair, responsible for briefing the OECD TFTC on activities of the Gender Balance Action Group during plenary meetings, promoting these activities to OECD countries and driving progress;
- As a member of Canada's Financial Action Task Force (FATF) Mutual Evaluation regime partner, work collaboratively with Canada's 13 regime partners to prepare for Canada's Mutual Evaluation scheduled for November 2025.
- Responsible for the Joint Chiefs for Global Tax Enforcement (J5) through support of the J5 Communications Sub-Group and the Global Financial Institution Partnership and Summit.

Canada Revenue Agency | Technical Advisor, Criminal Investigations Division | August 2023 to October 2023

- Advise on or participate in the assessment and resolution of issues related to all cases, especially sensitive and complex issues.
- Analyze, research, provide direction, mentoring, technical guidance, support and advice to investigators through team leaders and managers as they pertain to the criminal investigations.
- Assist in developing local procedures on the application of policies and legislation to highly complex, contentious and sensitive technical issues, and provides advice, guidance and expertise in implementing these policies and procedures.
- Assist in developing, implementing communication products for various media releases.
- Continue in the development of a 5-day Specialty Programme in the area of Major Case Management for the OECD, as a team of four people, on behalf of the Canada Revenue Agency.
- Deliver above noted 5-day Specialty Programme in the area of Major Case Management for the OECD in Italy, for two back-to-back Pilot Programmes.

Canada Revenue Agency | Acting Assistant Director, Criminal Investigations Division | Jun 2022 to August 2023

- Responsible for leading and managing operational resources in a large and complex program;
- Responsible for the management of human resources, with five direct reports and approximately 73 indirect reports;
- Responsible for the management of financial resources, with a budget of approximately \$8.0M;
- Responsible for establishing and maintaining relationships with internal and external stakeholders including CID Headquarters, the Public Prosecution Service of Canada (PPSC), Law Enforcement Agencies, among others;
- Nurture a world-class workforce by encouraging employee engagement, high-performance, continuous learning and investigator and support roles retention.

Canada Revenue Agency | Major Case Manager, Criminal Investigations Division | Aug 2018 to Jun 2022

- Direct and manage a criminal investigation team in the most complex of criminal investigations involving international issues and multiple complex partner relationships. Preparation of Operational Plans for Senior Management and Headquarter approval. Responsible for the management of financial resources and security issues. Promote effective communication with partners and stakeholders to build and maintain relationships.

Canada Revenue Agency | Team Leader, Criminal Investigations Division | Oct 2014 to Aug 2018

- Direct and manage a criminal investigation team, including establishing goals and negotiating financial and human resources to meet deadlines (judicial or otherwise). Develop and maintain partnerships with internal and external stakeholders. Review legal documents and advise. Advise and assist team members, assist in negotiations with PPSC, RCMP, and other partners. Provide advice senior management and Headquarters.

Canada Revenue Agency | Technical Advisor, Criminal Investigations Division | Aug 2014 to Oct 2014

- Responsible for providing direction and guidance to Investigators, Team Leaders and Manager in relation to ongoing tax evasion investigations.

Canada Revenue Agency | Training Officer, Criminal Investigations Directorate | Oct 2011 to Aug 2014

- Responsible for delivery and coordination of training for investigators at a national level;
- Development and overhaul of the Criminal Investigations training program and priorities in light of divisional transformation. Visit and audit Internal Revenue Service (IRS) training facilities in the United States in consideration for development of the CRA training and suggest implementation of selected techniques to CRA training program in accordance with IRS successes.

Canada Revenue Agency | Technical Advisor, Criminal Investigations Directorate | Jun 2011 to Sept 2011

- Reviewed Information to Obtain Search Warrants and Production Orders prepared by investigators situated in various offices nationally, provided feedback, guidance and recommendation to improve the quality of court documents.

Canada Revenue Agency | Regional Intelligence Officer, Strategic Intelligence Section for the Ontario Region | Criminal Investigations Directorate | Sept 2010 to Jun 2011

- Participated and made recommendations in Strategic Intelligence Assessment Reports of Outlaw Motorcycle Gangs and Tax Protestors;
- Played an instrumental role in the development of a selection methodology for tactical files in relation to a tax protestor project;
- Represented the Ontario Region with the Enforcement Division and built external relations with policing bodies and other government agencies involved in law-enforcement activities.

Canada Revenue Agency | Criminal Investigator | Criminal Investigations Division | Jul 2005 to Jun 2012

- Conducted criminal investigations of allegations of tax offences;
- Successfully obtained guilty pleas in referrals to PPSC;
- Prepared for court, testified in Court and obtained a Letter of Commendation from PPSC Lawyer for testimony;

- Numerous other investigative activities including planning of search activities.

Canada Revenue Agency | Civil Auditor | Jan 2000 to Jul 2005

- Conducted business audit and underground economy audits;
- Developed significant experience in Indirect Verification of Income to determine taxpayers' taxable income and GST payable (Net worth audits, projections and bank deposit analysis).

EDUCATION

Jul 2018 - Certified Forensics Examiner (CFE) accreditation/designation

Aug 2000 - CGA Program of Professional Studies (now CPA-CGA)

Jun 1994 - Accounting and Finance Diploma from Confederation College of Applied Arts & Technology, Thunder Bay, ON, Canada

Jun 1993 - Business Accounting Diploma from Confederation College of Applied Arts & Technology, Thunder Bay, ON, Canada

Jun 1991 - High school Diploma, Cité des Jeunes, Kapuskasing, ON, Canada

ACADEMIC ACTIVITIES, TRAINING COURSES AND SEMINARS ATTENDED¹

October 2024 to current - Participated as an attendee and on the planning committee for the 4th Global Financial Institution Summit (GFIS) held in Canada, where Public and Private entities tackle international tax crime and money laundering through partnership and collaboration

August 2022 to current - a participating member of an International Working Group comprised of Law Enforcement Agencies on the topic of Trade Based Money Laundering

June 19, 2024 - Participated in the Money Flow Conference held by the Bank of Canada

Nov 2023 - Participate in the 3rd annual Global Financial Institution Summit (GFIS) in Amsterdam, Netherlands, where Public and Private entities tackle international tax crime and money laundering through partnership and collaboration

Sept 2022 - Financial Investigative Training including Money Laundering, by Internal Revenue Service (IRS)

¹ Not all-inclusive

Jan 2021 – International Policies to Fight Money Laundering and Terrorism Financing training (one day virtual), provided by the Financial Action Task Force (FATF)

Dec 2021 – Spin Cycle Money Laundering Conference (5 days virtual), provided by the Financial Crime Coordination Centre (FC3)

Sept 2021 – CPA The One Conference (4 days virtual)

May 2021 – Money Laundering Conference (1 day virtual), held by Osgoode Hall Law School

Jan 2020 – Investigative and PEACE model Interviewing training (two days), provided by Investigations Training

Mar 2018 - Powerful Negotiation Skills - A workshop to improve negotiating skills to develop techniques and strategies in negotiations, provided by PMC Training

Mar 2016 – Team Leader training in tax criminal investigations (five days), provided by CRA

Apr 2016 – Courtroom Testimony: Practical Skills Workshop (1/2 day virtual), provided by Osgoode Hall Law School

Mar 2015 – Drafting Information to Obtain training (3 days), provided by Osgoode Hall Law School

AWARDS, SPEAKING AND TRAINING ENGAGEMENTS AND OTHER ACCOMPLISHMENTS

Current Security Clearance – Top Secret

From October 2024 to current – act as moderator for the OECD TFTC Gender Balance Action Group *Fireside Chats*, held approximately every 4-6 weeks. *Fireside chats* are virtual talks with leading voices in senior positions in tax crime to discuss everything gender and tax crime. *Fireside Chats* are accessible by all OECD countries.

Oct 2023 – participated with a group of four CRA experts to develop a Pilot 5-day program for the OECD in Major Case Management including the creation of a case study. Participated in the delivery of the Pilot Programme in back-to-back fashion in Ostia, Italy in October 2023.

2019 to current - Expert Instructor representing Canada Revenue Agency for the Organization for Economic Cooperation and Development (OECD) in the area of tax crime investigations (onsite and virtual)

Dec 2021 – Expert Panelist for a combined panel by CRA, CBSA and FINTRAC on the subject of Information Sharing at the first annual FC3 Spin Cycle Money Laundering Conference

Nov 2021 - Recipient of a CRA Ontario Region Award of Excellence in the area of Partnerships for the year 2019, for partnerships created internationally through the OECD, resulting in the sharing of best practices with partners

Oct 2019 - Expert Panelist as a representative of Canada Revenue Agency at the Canadian Regulatory forum (CRF) on the subject of Major Case Management. The event was attended by 75 regulatory agencies

Oct 2014 – Recipient of a Canada Revenue Agency CBP Award of Excellence in the area of Teamwork and Cooperation for our work in the transformation of the Criminal Investigations Division training program to a seven-week intensive course