

Dirk du Plooy

Pretoria, Gauteng, South Africa

+27 (0)12 422-4002 Mobile: +27 (0) 60-778-4234 | E-mail: summerplace71@gmail.com

PROFILE

- Business Area Lead : Forensic Audit at the South African Revenue Services (SARS), responsible to oversee and conduct complex financial investigations and litigation
- Previously a business turnaround and re-organization strategist, he has joined SARS from commerce during 2010, priorly serving at executive board and management level in a multinational group
- He is the Specialised Audit designate to South Africa's FATF Mutual Evaluation and consultant to SARS implementation plans 2019 to 2024 and Peer Review of South Africa during May 2022 by OECD's Global Forum to Transparency to Exchange of Information Requests (EOIR)
- Amongst other roles he is also the Specialised Audit's designate to SAMLIT (South African Anti-Money Laundering Integrated Task Force) and an implementation member to operationalising of the South Africa's National Integrated Strategy to Combat Wildlife Trafficking (NISCWT) approved by Cabinet on 10 May 2023
- Expert in the fields of taxation, auditing, accounting. money-laundering and commercial law
- He has joined the OECD TFTC Academy's accomplished team of instructors during January 2022

PROFFESIONAL EXPERIENCE

South African Revenue Services (SARS) • 2010 - Present

Brooklyn, Gauteng
2014 - Present

Business Area Lead : Forensic Audit, Specialised Audit (2 April 2016 – present)

- Lead and directing a specialised forensic team focused on complex on-shore and off-shore financial investigations
- Responsibility for litigation strategy and instruction to counsel in complex financials investigations in Tax Court, High Court and Supreme Court of Appeals
- Multi-lateral tax investigations in with international tax authorities
- Project management of national interventions aimed at permanently influencing taxpayer behaviour
- Research, planning and managing of resources to optimize effectiveness of interventions
- Assistance national and international debt recovery

- Internal external client liaison management
- 2017 to 2018 - SARS Designate : **Multi-lateral tax investigations** Swedish Tax Agency (Skatteverket)
- 2018 to present - SARS Designate : OECD Forum on Tax Administration - **Joint Audits Project**, *FTA Expert Group*
- 2019 to present - SARS Specialised Audit designate : **National Risk Assessment Committee - South Africa's 2021 Mutual Evaluation Report** to Effectiveness in combatting money-laundering and terrorist financing - Financial Action Task Force (FATF)

Acting Executive: Forensic Audit (1 March 2014 – 1 April 2016)

- Leading and directing specialized forensic teams to complex cases and suitable for disputes in court and litigation
- Overall responsibility for forensics investigations and operations in line with Audit division's key deliverables and SARS strategic plan
- Provide strategic oversight and management of SARS Electronic Forensic Services (EFS) on national basis with regards to strategic and operational initiatives
- Project planning and driving key performance deliverables to complex tax audits and litigation
- Plan and manage resources to optimize effectiveness of interventions either by providing corrective measures and/or establishing of new case law
- Direct and project manage national interventions aimed at permanently influencing taxpayer behaviour
- Managing interdependencies with internal stakeholders and external legal counsel to optimize litigation outcomes and return on investment

Senior Manager: Audit (Gauteng North)

Pretoria, Gauteng

2010 - 2014

- Leading regional professionalization of SARS Audit in terms of new operating model and key Audit strategic global bench marking plan
- Overall accountable for tax audits within region which contains the largest number of registered taxpayers nationally
- Preparation, implementation and monitoring of regional strategic operational plans in terms of Audit strategy
- Provide leadership and enhance effectiveness to tax audit interventions enabling principal impact from a behavioural and monetary perspective
- Restructuring of region (123 staff compliment), reorganisation and galvanising a high performance management and specialised audit team

Sarens SA (Pty) Limited

Alberton, Gauteng

Wholly owned South African based subsidiary of the SARENS Group, head office based Wolvertem, Belgium. Family owned global leaders in extra-ordinary specialising in heavy lifting projects, hydraulic/crawler crane hire and logistical projects servicing the petrochemical, leading engineering groups, civil construction, mining and industrial sectors in Sub-Saharan Africa. (Visit www.sarens.com and www.sarensa.co.za)

Chief Financial Officer and Director

2004 – 2009

- Member of the SA Board of Directors and Executive Committee (EXCO)
- Strategy, policy and business development for Sub Saharan Africa including management information and research, business intelligence, analysis of market trends, etc.
- Shareholder interface, monthly and annual reporting
- Reorganization in terms of group strategies including integrated and master planning, contingency planning, etc.
- Manage, build and retain relationships with local and international clients, key accounts, industry, government and role players
- Re-engineering business processes meeting corporate environment requirements.
- Participation in re-defining company marketing and positioning: printed media, electronic media, radio, audio-visual, etc.
- Project planning, review contracts, measuring Pre to Post award until Contract Closeout.
- Full financial management function of Sarens South Africa (Pty) Ltd, guiding financial team in commercial decision-making / strategic planning/negotiations
- South African company's Public Officer responsible and representing the company in all legal matters including : contract reviews, commercial litigation and related contract negotiations
- Finance, Administration, Procurement, Fleet Management, Internal Control, Line Management and General Management reporting into this position (Current Company Staff compliment: 178 employees)
- Member and steering of Salary Review Committee in unionized wage/salary environment, conduct performance appraisals, acting Presiding Officer in disciplinary processes.
- Head of SA Pension/Provident Fund Committee
- Prepare Company Budget, Operational Budget and Capex budget and management of 5 year rolling forecasting maximizing shareholders return
- Mitigating of business risk by implementing of internal controls, monitoring and adjustments on ongoing basis
- Ensuring compliance with Corporate Governance, S.A. statutory requirements and local/international Reporting Standards. (IFRS/GAAP)

- Improve the required profitability by management of project and operations expenditures and investments
- Preparing relevant returns and ensuring compliance with provisions of the Acts administered by the SARS and S.A. Government
- Head of Audit Committee, preparations of annual audit packs, liaison with external audit team resolving audit queries
- Tax planning and submissions. Resolve all correspondence, requests and audits from SA Tax authorities on behalf of the company

South African Revenue Services (SARS)

Woodmead, Gauteng
2001 - 2004

Tax Specialist and Forensic Auditor

- Conducting risk analysis on an industry basis - Formulation of industry specific audit plans
- Setting up specialized audit teams and formulating focused collection plans by industry
- Focus on improved revenue collection techniques in reducing tax gap
- Conducting and reviewing complex, integrated direct and indirect tax audits
- Identifying and investigating non-compliant industries and syndicates - civil and criminal
- Conducting integrated and forensic audits addressing the spectrum of direct and indirect taxes administered by the SARS
- Identifying inefficiencies in current tax and corporate governance legislation - formulating corrective recommendations

Delta EMD Ltd

Sandton, Gauteng

Delta EMD Limited is a top listed 10 company on Johannesburg Stock Exchange and a leading global supplier of Electrolytic Manganese Dioxide, a material used in the manufacture of disposable batteries. Manganese Metal Company is a leading global supplier of manganese metal, a material used in the production of steel, aluminum and electronic components. Both Delta EMD Limited and Manganese Metal Company are based in South Africa. Delta plc international group headquartered in the United Kingdom and listed on the London Stock Exchange (DLTA) was acquired by Valmont Industries, Inc. on 12 May 2010, at the time of the acquisition manufacturing operations employing over 2,500 people in Australia, Asia, South Africa, the United Kingdom and the United States. (Visit www.deltapl.com)

Group Financial Accountant

2000 - 2001

- Head Office functions to SA listed concern, with Australian operations and PLC Holding Company

- Monthly and annual consolidation of South African (“S.A.”) divisional and S.A. Group accounting records
- Preparation of monthly management accounts and reporting on deviations between actual results, comparative figures, budgeted estimates and forecasts.
- Preparation of year-end audit packs relating to S.A. Head office and S.A. divisions
- Preparation of S.A. Group Tax and statutory tax returns
- Daily cash management of S.A. Group
- Preparation of monthly Head-Office accounts, divisional billing and loan accounts
- Preparation of annual budgets and forecasts on behalf of S.A. Group Financial Director
- Addressing general compliance of S.A. Group with statutory requirement within South Africa environment relating to Taxation and Corporate Governance.

Mazars Chartered Accountants and Auditors

Pretoria, Gauteng

Mazars is a leading international audit, tax and advisory firm. Operating as a united partnership, working as one integrated team, leveraging expertise, scale and cultural understanding to deliver exceptional and tailored services in audit and accounting, as well as tax, financial advisory, consulting and legal services. Global footprint of 42 000 professionals in 90 countries and territories. (Visit www.mazars.co.za)

Articles (1996-1998) & Audit Manager (1999)

1996 - 1999

- Planning, performing and managing audits of unlisted companies and government parastatals
- Conducting evaluations of deficiencies in internal control structure (accounting and software) on behalf of clients
- Preparation of Annual Financial Statements and completion of statutory tax returns on behalf of Unlisted Companies, Close Corporations, Trust and Individuals
- Extensive Income Tax, Value-Added Tax, Donations Tax and PAYE planning and administration on behalf of clients
- Ensuring clients constant compliance with statutory requirements
- Ad-hoc forensic investigations on behalf of the S.A. Agricultural Fresh Produce Agents Council and the Auditor General’s office
- Compilation of Management reports and ad-hoc assignments on behalf of clients
- Conducting specialised accounting services on behalf of clients

EDUCATION

University of South Africa

Pretoria, S.A.

B.Compt (Hons)

1998

Majors in Business Management, Accounting, Auditing and Taxation

University of Pretoria

Pretoria, S.A.

B Com (Bachelor of Accounting)

1995

Majors in Business Management, Accounting, Auditing and Taxation

Courses

OECD International Tax Crime Academy Programme on Managing Financial Investigations (Intermediate) Sept 2020

OECD Africa Academy for Tax and Financial Crime Investigation, VAT/GST Fraud Investigations (Specialty Programme) April 2021

OECD Africa Academy for Tax and Financial Crime Investigation, Investigative Techniques for the Cash Economy (Specialty Programme) October 2021

ACHIEVEMENTS

SARS Specialised Audit

- ❖ *March 2012 - Best performing region in SARS Audit based on quality of cases and return on investment*
- ❖ *September 2012 - Nominated for SARS National Annual Awards: Excellence in Management*
- ❖ *March 2013 - 3rd Best region in SARS Audit based on quality of cases and return on investment*

Sarens SA

2004 - Youngest appointed director and CFO international Sarens Group

2006 - Restructured company with 12 months, turned from annual loss ZAR36 Million to bottom-line profit of ZAR4 Million within 24 months

2007 - Tripled annual turnover and reduced overheads by 50%

2008 - Successful completion of various high profile construction projects including Gautrain bridge truss constructions, 2010 World Cup Stadiums, Petro-Chemical plants projects, SA harbor crane expansions

2008 - Within 4 years company was established as Sub-Saharan leader in heavy lift projects with sustained long term growth from a diversified client portfolio - 2008

2009 - SA taking over responsibility of Sarens Group Global marketing

2008/9 - Company regularly being featured in industry leading articles including SA authoritative "Engineering and Mining News", CPHA, Business Day and radio.

April 2009 - Featured as Business Leader in SA authoritative "Engineering and Mining News" – and radio interviewed by Classic Business to Sarens Group mobilizing the largest crane in Africa to meet the SA group's scheduled expansions

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