

Enhanced Due Diligence for Third-Party Risk

Manage risks among your suppliers,
distributors and agents with confidence

November 2025



The Changing Third-Party Risk Environment

Changes in the third-party risk environment leading to new demands on the third-party risk and compliance teams

New global third-party risk management regulations

Conduct due diligence on more supply chain partners

Growing administrative burdens

Improve programme and process efficiencies

New and emerging types of risk

Understand a third party's risk profile from multiple angles

Increasing consumer awareness of third-party risks

Monitor reputational as well as regulatory risks

Economic uncertainty

“Do more with less”

The Next Generation of Enhanced Due Diligence

- Minimise delays to your business with faster third-party onboarding processes
- Identify higher-risk third parties more easily
- Reduce overall programmatic costs
- Order and access reports where your risk management decisions are made
- Receive only the in-depth risk insights your company needs
- Go beyond traditional integrity risks and adopt a holistic risk view when circumstances require it
- Understand not just your third party, but also its key personas and affiliated entities

Today's third-party risks can no longer be addressed using yesterday's due diligence report style

Due Diligence Built for You: Global, Fast, Cost Effective and Efficient



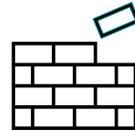
Ensure true global coverage with the ability to research in over 65 languages



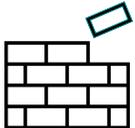
Accelerate onboarding decisions with industry-leading report turnaround times



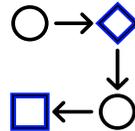
Scale confidently with the support of over 400 due diligence researchers



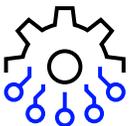
Make confident decisions with every report backed by *World-Check Risk Intelligence* data



Better understand risk profiles with risk scoring and optional expansion to additional risk types



Reduce compliance costs with budget-friendly reports powered by smart processes and responsible automation



Streamline processes with API report ordering directly within your workflows



Automate workflows, reduce manual effort, and get insights faster with report data fed directly into your systems

Two Types of Third-Party Risk Reports

Designed to better meet our clients' unique needs

Integrity Risk Reports

For clients focused on traditional risks such as:

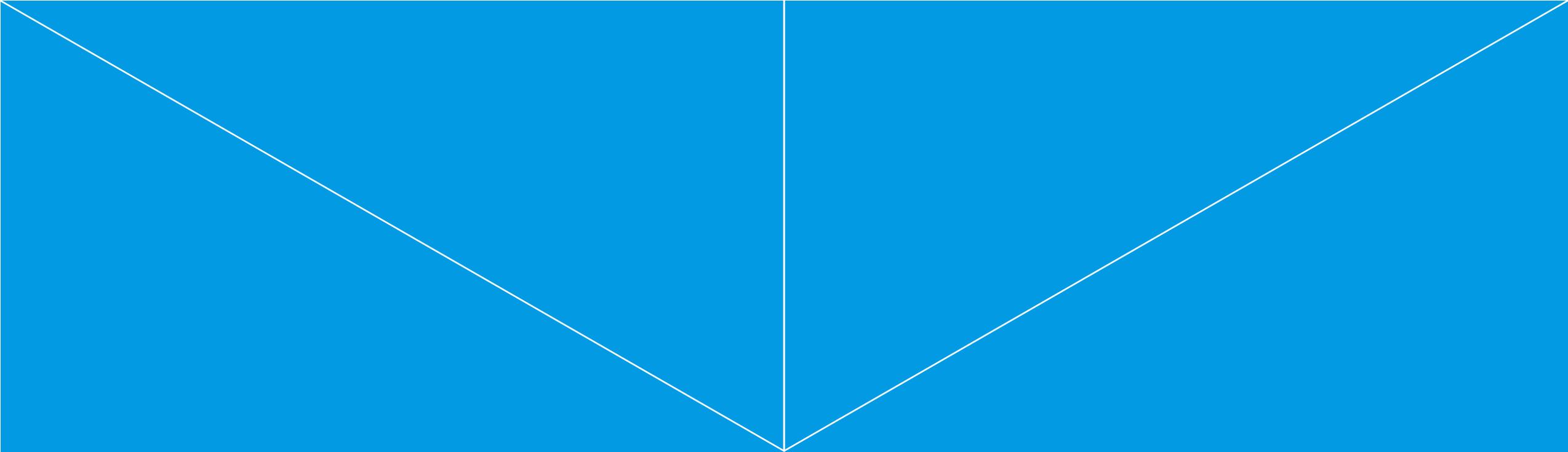
- Corruption and bribery
- Sanctions and restrictions
- Money Laundering and Fraud
- Serious and organised crime (e.g., cartel involvement)

Comprehensive Third-Party Risk Reports

For clients who need a broader assessment of third-party risk. Builds upon Integrity Risk Reports to also include:

- Cyber risk
- ESG risk
- Financial risk
- Identity risk
- Operational risk





Integrity Risk Reports

For clients focused on traditional third-party risks

Integrity Risk Reports: Offering Overview

Tiered report scopes

Three distinct report levels to support a risk-based approach

Dedicated risk focus

Emphasis on key risks such as

- Corruption and bribery
- Sanctions and restrictions
- Money Laundering
- Fraud
- Serious and organised crime (e.g., cartel involvement)

Fast turnaround times

Research methodologies leverage advanced technologies and process improvements to decrease turnaround times

Risk scoring

All entity-focused reports include risk scores for easier understanding and faster decision-making

Built on solid foundation

Each report builds upon World-Check, our industry-leading screening solution

Multi-subject reports

All reports include screening of two key personas, plus option to expand both number of additional subjects and depth of research

Easily accessible

Reports can be ordered via several channels:

- LSEG's Online ordering Portal
- API connection from your system
- API connection from Third-Party SaaS solutions

Reports can be delivered as traditional PDFs or as machine-readable data (JSON files) for direct ingestion into your workflows

Flexible report scopes

Easily configurable scopes allow you to add or remove components to better suit your unique needs

Integrity Risk Reports: Report Scopes Overview

Items highlighted in *blue* indicate additional data coverage over lower tier scope

	Basic Report	Core Report	Premium Report
Report components (by subject):	Main Subject <ul style="list-style-type: none"> Global Compliance checks via World-Check Media research (English and local language) Analysis of client-provided documentation Ownership check (1 level, online only) 	<ul style="list-style-type: none"> Global Compliance checks via World-Check Media research (English and local language) Analysis of client-provided documentation Litigation, regulatory, bankruptcy, and law enforcement checks <p>If subject is an Entity:</p> <ul style="list-style-type: none"> Corporate Registry checks Ownership checks (official sources, 1 level) <p>If subject is an Individual:</p> <ul style="list-style-type: none"> Directorship / Business interest checks 	<ul style="list-style-type: none"> Global Compliance checks via World-Check Media research (English and local language) Analysis of client-provided documentation Litigation, regulatory, bankruptcy, and law enforcement checks Discrete local source inquiries <p>If subject is an Entity:</p> <ul style="list-style-type: none"> Corporate Registry checks Ownership checks (official sources, 4 levels) <p>If subject is an Individual:</p> <ul style="list-style-type: none"> Directorship / Business interest checks
	Two Key personas <ul style="list-style-type: none"> Global Compliance checks via World-Check 	<ul style="list-style-type: none"> Global Compliance checks via World-Check 	<ul style="list-style-type: none"> Global Compliance checks via World-Check
Indicative Turnaround Times*	<ul style="list-style-type: none"> 3 business days 	<ul style="list-style-type: none"> 7 business days 	<ul style="list-style-type: none"> 12 business days

All reports can be adjusted through our optional add ons, such as:

- Additional jurisdictions
- Additional subjects
- Additional research components

*Turnaround times are for standard reports and may be impacted by additional add on research components, complex research requirements, local holidays, or jurisdictional disruptions.

Integrity Risk Reports: Key Reports Components

Risk scores for all entity-focused reports

Summary risk table capturing Integrity risks such as Bribery, Corruption, Sanctions, Money Laundering, Fraud, and Organised Crime connections

Peer benchmarking for more informed decision making

LSEG Due Diligence
Integrity Risk Report
Core

DEF Health Tech Co Ltd
China | Health Care Equipment & Services

Overall Risk Rating: 7
Country Risk Rating: 2

Tell us how we're doing. [Take a quick survey](#)

SUMMARY OF FINDINGS

INTEGRITY RISKS	ENVIRONMENT, SOCIAL AND GOVERNANCE RISKS (ESG)	OPERATIONAL AND QUALITY RISKS	DATA AND CYBER RISKS	FINANCIAL RISKS	IDENTITY RISKS
Corruption and Bribery	Environmental Degradation	Industry Presence	Personal Data Privacy	Financial Stability	Transparency
Serious and Organized Crime	Labour Welfare	Product and Service Quality	Intellectual Property	Financial Obligations	Source of Wealth
Terror and Related Matters	Codes and Marketing Practices	Operational Quality	Data Security		
Anti-Competitive Behaviour	Health and Safety	Business Continuity			
Government Connections	Human Rights				
Fraud	Modern Slavery				
Money Laundering	Employment Practices				
Tax Non-Compliance	Governance and Management				
Sanctions and Restrictions	Regulatory Enforcement				

Higher Risk Lower Risk

The Overall Risk Rating is a combination of a weighted average of the Risk Categories plus a comprehensive, proprietary, country risk ranking factor. For further information about Risk Rating, Risk Categories, and Peer Benchmarking, see [appendix](#).

PEER BENCHMARKING

LSEG's Peer Benchmarking information is based on aggregated data obtained from conducting over 500,000 investigations across various industries and countries as well as data obtained from specialised data vendors and is kept up-to-date with our unique algorithm. The key elements of the benchmarking data are the Risk Categories. Each element is graded out of 10, with 10 being the "top of class" rating, based on the subject matter & country expertise of the LSEG's Research Team. Note that these elements are only graded in the presence of enough data to conclude a grade. In the absence of data in a given element, the grade will be displayed as "N/A" meaning Not Available. The graph is provided as a visual display of how the Company's six risk Categories compared with its peers, based on our findings.

Case ID ...CoreSample
Prepared by LSEG
CONFIDENTIAL

Report generated on 27 Aug 2025
Updated 27 Aug 2025
Version 2.19.0-2.0

ISAE 3000 assurance report available

LSEG RISK INTELLIGENCE

LSEG Due Diligence
THIRD PARTY RISK REPORT | ADVANCED

PT ABC Company

EXECUTIVE SUMMARY

PT ABC Company, established in 1987 and incorporated in March 2005, is a battery manufacturing company based in Jakarta, Indonesia. It is part of Japan-based ABC Group of companies. The group with its flagship holding company ABC Holdings Corporation has more than 500 consolidated companies globally and engaged in various segments, including lifestyle, automotive, connect, energy and industry.

PT ABC Company is associated to the following companies: ABC Holdings Corporation (group company), ABC Japan (affiliate), ABC Holdings BV (indirect shareholder), ABC Asia Pacific (shareholder) and ABC International (shareholder). The company has the following Key Principals: John Darcy (President, Director and Ultimate Beneficial Owner), James Smith (Director), Williams Smith (Directors), Timothy Williams (Director) and Marcia Bell (Director).

PT ABC Company has an Authorised, Issued and Paid-up Capital of IDR 32,580,000,000.00 (USD 2,124,718.5). The company has a CreditSafe's International Score A which indicates low chance of insolvency. Searches did not reveal the complete financial details of the company.

Executive summary capturing risk-relevant information

Ownership info visualisation (levels dependent upon report scope)

LSEG Due Diligence
THIRD PARTY RISK REPORT | PREMIUM

Sach Express Company Limited

OWNERSHIP INFORMATION

Hoang Nguyen

100%

Sach Express International Limited

100%

Sach Express Company Limited

● Shareholders
● Beneficial Owners

Comprehensive Third-Party Risk Reports

For clients who need a broader assessment of third-party risk

Comprehensive Third-Party Risk Reports: Offering Overview

Tiered report scopes

Three distinct report levels to support a risk-based approach

Broad risk coverage

Six categories of third-party risks:

- Integrity
- Identity
- Financial
- Data and Cyber
- Operational / quality
- ESG

Fast turnaround times

Research methodologies leverage advanced technologies and process improvements to decrease turnaround times

Risk scoring

All entity-focused reports include risk scores for easier understanding and faster decision-making

Built on solid foundation

Each report builds upon World-Check, our industry-leading screening solution

Multi-subject reports

All reports include screening of two key associated profiles, plus options to expand both number of additional subjects and depth of research

Easily accessible

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Flexible report scopes

Easily configurable scopes allow you to add or remove components to better suit your unique needs

Comprehensive Third-Party Risk Reports: Risk Coverage

- Although these reports have bribery and sanctions as their core, they also cover a wider set of third-party risks
- Each report categorizes any risks found into one of six types
- Each risk category contains several relevant risk areas
- Capturing any potential risks across these six categories allows for more informed decisions about a third-party relationship.

Integrity	Identify	Financial	ESG	Operational and Quality	Data and Cyber
“Will they cause you legal or reputational harm?”	“Are they who they claim to be?”	“Will they cause you financial harm?”	“Will they harm the environment or others?”	“Can they deliver to your expectations?”	“Will they damage your data or security?”
<ul style="list-style-type: none"> • Corruption and bribery • Sanctions and restrictions • Money Laundering • Fraud • Serious and organised crime (e.g., cartel involvement) • Terror and related matters • Anti-competitive behavior • Government connections • Tax non-compliance 	<ul style="list-style-type: none"> • Transparency and ownership 	<ul style="list-style-type: none"> • Financial stability • Financial irregularities 	<ul style="list-style-type: none"> • Environmental degradation • Human Rights • Modern slavery • Employment practices • Governance and management • Regulatory enforcement • Sales and marketing practices • Animal welfare 	<ul style="list-style-type: none"> • Industrial presence • Product and service quality • Operational quality • Business continuity 	<ul style="list-style-type: none"> • Intellectual property • Personal data privacy • Data security

Comprehensive Third-Party Risk Reports: Report Scopes Overview

Items highlighted in *blue* indicate additional data coverage over lower tier scope

	Basic	Core	Premium	
Report components (by subject):	<ul style="list-style-type: none"> Basic subject profile (online only) Global Compliance checks via World-Check Adverse Media research (English and local language) Analysis of client-provided documentation <p>If subject is an Entity:</p> <ul style="list-style-type: none"> Ownership check (online only, 1 level) Cyber security check (basic) Basic financial information (online only) ESG review (basic) 	<ul style="list-style-type: none"> Global Compliance checks via World-Check Full media research (English and local language) Analysis of client-provided documentation Litigation, bankruptcy, regulatory and law enforcement checks <p>If subject is an Entity:</p> <ul style="list-style-type: none"> Cyber security check (basic) ESG review (basic) Ownership checks (official sources, 1 level) Detailed corporate registry information Financial information (media and official sources) <p>If subject is an Individual:</p> <ul style="list-style-type: none"> Directorship / Business interest checks 	<ul style="list-style-type: none"> Global Compliance checks via World-Check Full media research (English and local language) Analysis of client-provided documentation Litigation, bankruptcy, regulatory and law enforcement checks Discrete local source inquiries <p>If subject is an Entity:</p> <ul style="list-style-type: none"> Cyber security checks (advanced) ESG review (advanced) Ownership checks (official sources, 4 levels, 25%+ ownership) Detailed corporate registry information Financial information and credit checks (advanced) <p>If subject is an Individual:</p> <ul style="list-style-type: none"> Directorship / Business interest checks 	
	2 Key associated profiles	<ul style="list-style-type: none"> Global Compliance checks via World-Check 	<ul style="list-style-type: none"> Global Compliance checks via World-Check 	<ul style="list-style-type: none"> Global Compliance checks via World-Check
	Indicative Turnaround Times*	<ul style="list-style-type: none"> 3 business days 	<ul style="list-style-type: none"> 7 business days 	<ul style="list-style-type: none"> 12 business days

All reports can be adjusted through our optional add ons, such as:

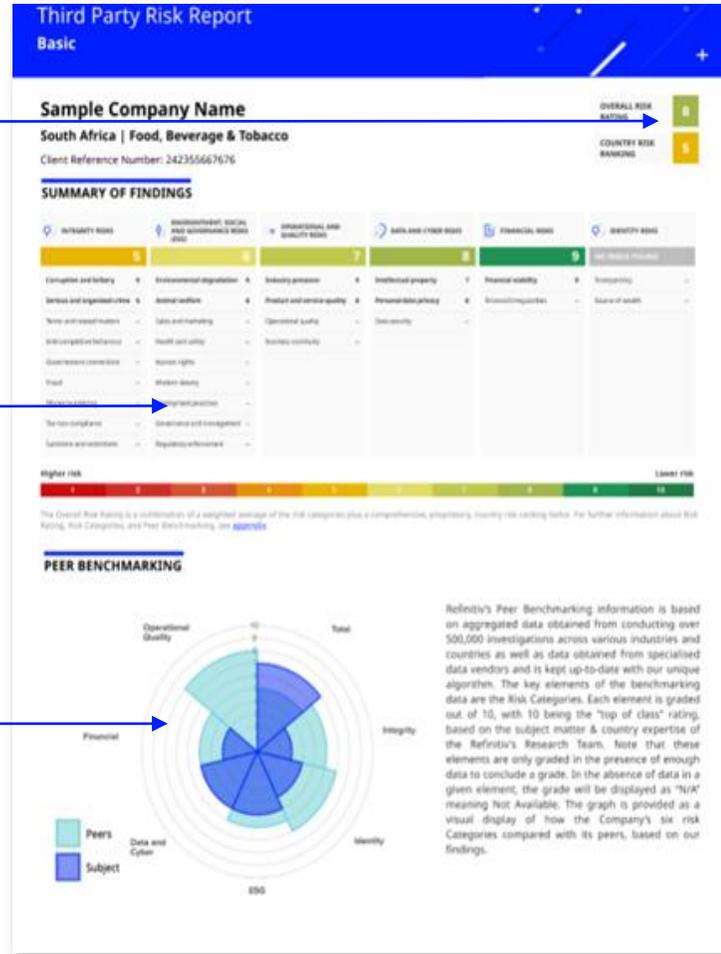
- Additional jurisdictions
- Additional subjects
- Additional research components

Comprehensive Third-Party Risk Reports: Key Report Components (1)

Risk scores for all entity-focused reports

Summary risk table capturing six categories of risk with individualised risk scores

Peer benchmarking for more informed decision making



LSEG Due Diligence THIRD PARTY RISK REPORT | PREMIUM

Sach Express Company Limited

INDIVIDUAL ASSOCIATED PROFILES

Full Name	Roles	Risks Identified
Hoang Nguyen	Ultimate Beneficial Owner	GOC-01
Trung Nguyen	Director	GOC-01
Linh Nguyen	Director	GOC-01
Mai Nguyen	Director	GOC-01
Dat Nguyen	Director	GOC-01

COMPANY ASSOCIATED PROFILES

Full Name	Relationship	Risks Identified
Sach Express US Inc	Subsidiary	No risk found
Sach Express International Limited	Shareholder	No risk found
PT Sach Express Indonesia	Subsidiary	No risk found

LSEG Due Diligence THIRD PARTY RISK REPORT | CORE

DEF Health Tech Co Ltd

EXECUTIVE SUMMARY

DEF Health Tech Co Ltd is a limited liability company registered in Beijing, China on 6 August 2012. The subject company is also known as DEF Health Tech. Records in the Market Supervision Administration Bureau of Beijing, China revealed DEF Health Tech Co Ltd's tax ID and Uniform Social Credit Code to be 911101000000000000N.

DEF Health Tech Co Ltd specialises in digital infrastructure services in the medical field and sells a wide range of medical equipment. The subject company's registered capital amounts to CNY 800,000,000.00 (USD 108,956,199.61). The capital is 100.00 percent owned by its parent company DEF Health (HK) Tech Co Ltd based in Hong Kong. Records in the Hong Kong Company Registry revealed that DEF Health (HK) Tech Co Ltd is wholly owned by DEF Group Holding Limited, a listed company in Hong Kong.

Media and Internet searches on DEF Health Tech Co Ltd revealed the company has dealings and partnerships with government, public hospitals and state-owned companies from 2019 to 2023. Lateral searches found no adverse information regarding the collaborations and tenders.

DEF Health Tech Co Ltd underwent a general inspection by the local statistics bureau in 2022 which revealed unspecified issues. Further, the company was involved in an anti-competition issue in 2021 and received penalty of CNY 500,000.00 (USD 68,097.62) from the State Administration for Market Regulation as the company failed to submit advance declarations for acquisition of another company, required as per law. Additionally, in 2018, DEF Health Tech Co Ltd was fined an unknown amount by the local tax bureau for breaching the invoice regulations. Lateral searches did not identify any further details of the above breaches.

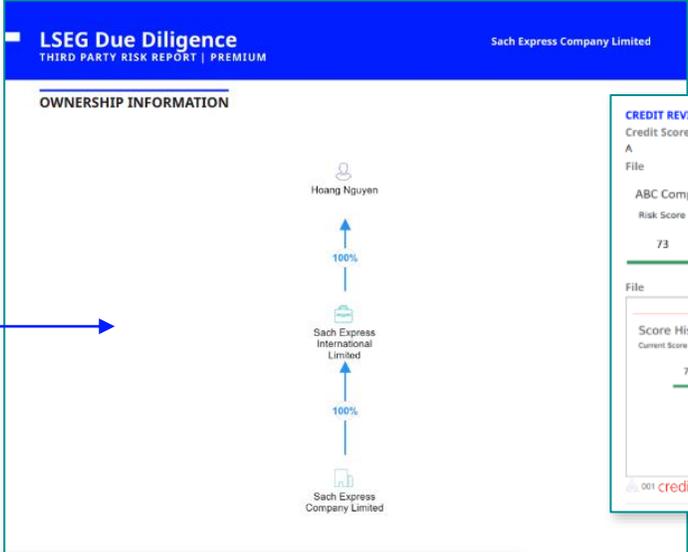
Associated-subjects summary tables

Executive summary capturing risk-relevant information

Comprehensive Third-Party Risk Reports: Key Report Components (2)

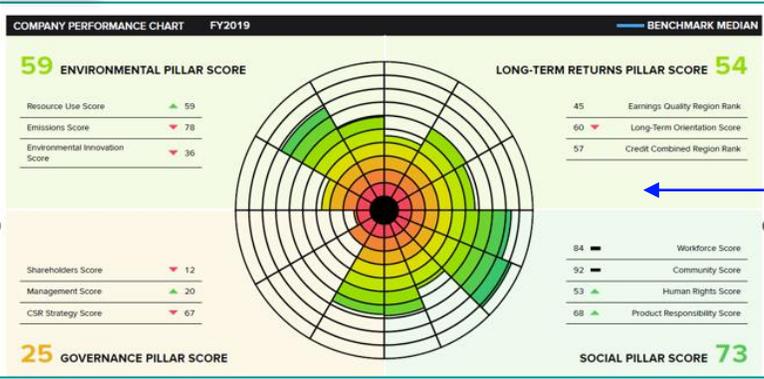
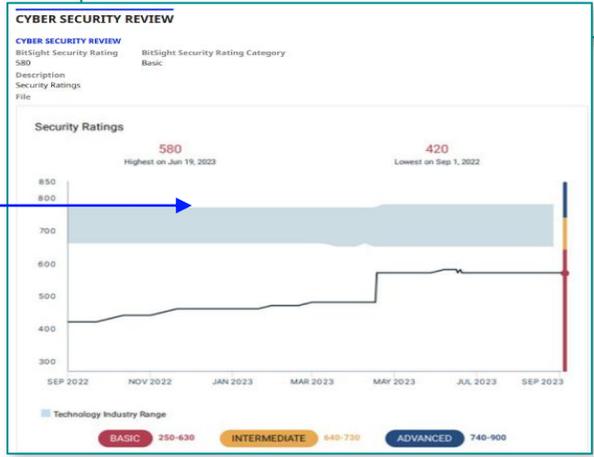
Ownership, Creditworthiness, Cyber Security and ESG risk checks

Ownership info visualisation (levels dependent upon report scope)



Creditworthiness check, provided by data partner CreditSafe (included in Premium report)

Advanced Cyber Security assessment by data partner Bitsight (included in Premium report)



Advanced ESG risk check (included in Premium report)

Our Integration Capabilities

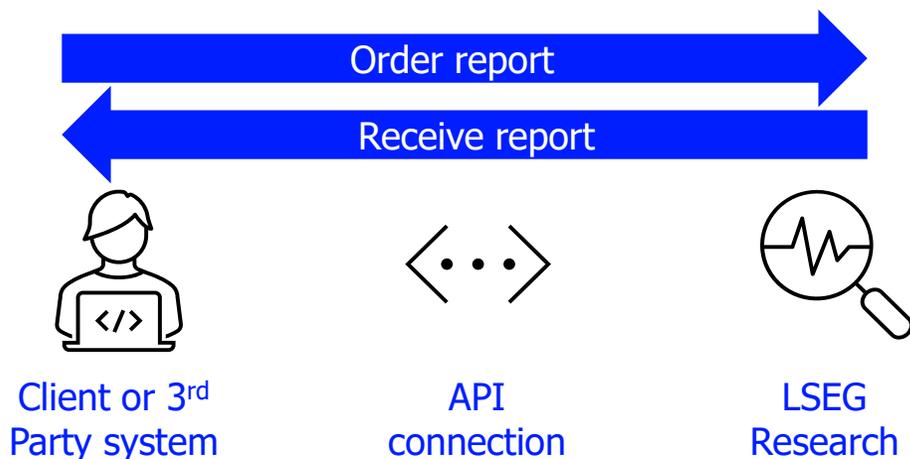
Seamlessly Integrate Our Reports into Your Existing Processes

Reduce manual steps, save time, and minimize human errors through our API connection and machine-readable reports

API capability – order from anywhere

Easily order and receive reports via your internal compliance or third-party risk management platform with our API connection

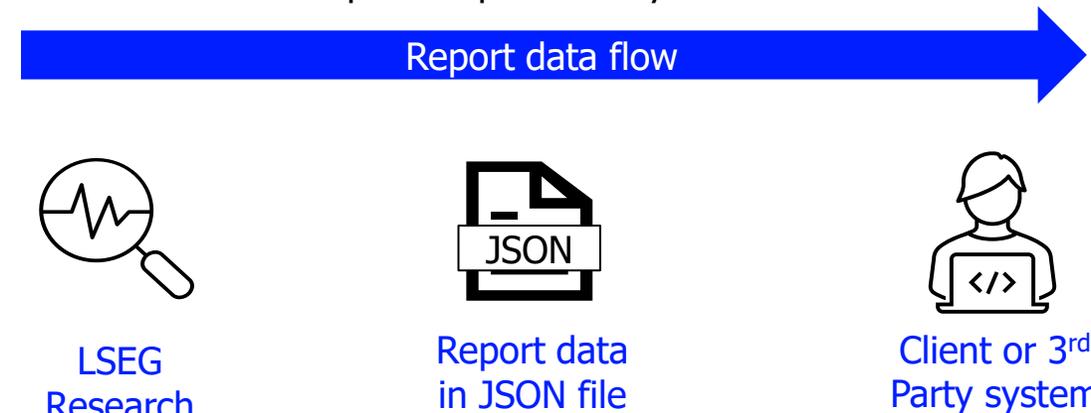
- Improve the efficiency of your compliance processes
- Reduce third-party onboarding timelines
- Reduce risk of human error
- Enhance decision making



JSON files – machine readable reports

Leverage our machine-readable reports (JSON format) to directly ingest risk-relevant data into your compliance or risk management systems.

- Reduce manual steps
- Minimise risk of errors
- Get quickly to the insights that matter to you
- Customise report outputs to fit your needs



Thank you